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On

April 28, 2006

TOWNSEND and TOWNSEND and CREW LLP

By:

Sara B. McPeak

Sara B. McPeak



PATENT
Attorney Docket No.: 021756-012800US
Client Reference No.: OID-2005-162-14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard P. Sinn, et al.

Application No.: 09/998,893

Filed: November 30, 2001

For: EMPLOYING ELECTRONIC
CERTIFICATE WORKFLOWS

Customer No.: 51206

Confirmation No.: 4150

Examiner: Tamara Teslovich

Art Unit: 2137

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Date: April 28, 2006

Respectfully submitted,



William J. Daley
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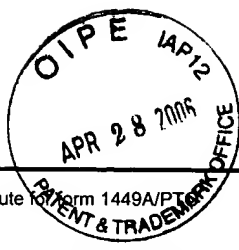
PTO/SB/08A (07-05)

Substitute for Form 1449A (Rev. 10/01) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	09/998,893	
			Filing Date	November 30, 2001	
			First Named Inventor	Richard P. Sinn	
			Art Unit	2137	
			Examiner Name	Tamara Teslovich	
Sheet	1	of	7	Attorney Docket Number	021756-012800US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,301,320	04-05-1994	McAtee et al.	
	A2	US-5,734,837	03-31-1998	Flores et al.	
	A3	US-5,822,454	10-13-1998	Rangarajan	
	A4	US-5,831,975	11-03-1998	Chen et al.	
	A5	US-5,867,665	02-02-1999	Butman et al.	
	A6	US-5,867,824	02-02-1999	Saito et al.	
	A7	US-5,884,284	03-16-1999	Peters et al.	
	A8	US-5,907,837	05-25-1999	Ferrel et al.	
	A9	US-5,940,834	08-17-1999	Pinard et al.	
	A10	US-5,960,422	09-28-1999	Prasad	
	A11	US-5,961,593	10-05-1999	Gabber et al.	
	A12	US-5,966,702	10-12-1999	Fresko et al.	
	A13	US-5,987,457	11-16-1999	Ballard	
	A14	US-5,991,768	11-23-1999	Sun et al.	
	A15	US-5,991,807	11-23-1999	Schmidt et al.	
	A16	US-6,012,098	01-04-2000	Bayeh et al.	
	A17	US-6,044,462	03-28-2000	Zubeldia et al.	
	A18	US-6,061,726	05-09-2000	Cook et al.	
	A19	US-6,061,740	05-09-2000	Ferguson et al.	
	A20	US-6,073,242	06-06-2000	Hardy et al.	
	A21	US-6,105,063	08-15-2000	Hayes, Jr.	
	A22	US-6,105,066	08-15-2000	Hayes, Jr.	
	A23	US-6,112,181	08-29-2000	Shear et al.	
	A24	US-6,154,776	11-28-2000	Martin	
	A25	US-6,173,311 B1	01-09-2001	Hassett et al.	
	A26	US-6,195,689 B1	02-27-2001	Bahlmann	
	A27	US-6,195,696 B1	02-27-2001	Baber et al.	
	A28	US-6,041,306	03-21-2000	Du et al.	
	A29	US-6,216,231 B1	04-10-2001	Stubblebine	
	A30	US-6,219,667 B1	04-17-2001	Lu et al.	
	A31	US-6,223,291 B1	04-24-2001	Puhl et al.	
	A32	US-2001-0002485 A1	05-31-2001	Bisbee et al.	
	A33	US-2001-0007133 A1	07-05-2001	Moriconi et al.	
	A34	US-6,263,332 B1	07-17-2001	Nasr et al.	
	A35	US-6,263,363 B1	07-17-2001	Rosenblatt et al.	

Examiner Signature		Date Considered	
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		Examiner Name	Tamara Teslovich		
Sheet	2	of	7	Attorney Docket Number	021756-012800US

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		Number Kind Code ² (if known)			
	A36	US-6,275,916 B1	08-14-2001	Weldon, Jr. et al.	
	A37	US-6,292,833 B1	09-18-2001	Liao et al.	
	A38	US-2001-0023440 A1	09-20-2001	Franklin et al.	
	A39	US-6,295,061 B1	09-25-2001	Park et al.	
	A40	US-2001-0027439 A1	10-04-2001	Holtzman et al.	
	A41	US-6,304,949 B1	10-16-2001	Houlsdworth	
	A42	US-6,317,783 B1	11-13-2001	Freishtat et al.	
	A43	US-2001-0049770 A1	12-06-2001	Cai et al.	
	A44	US-6,330,569 B1	12-11-2001	Baisley et al.	
	A45	US-6,336,124 B1	01-01-2002	Alam et al.	
	A46	US-6,336,214 B1	01-01-2002	Sundaresan	
	A47	US-6,339,826 B2	01-15-2002	Hayes, Jr. et al.	
	A48	US-2002-0053023 A1	05-02-2002	Patterson et al.	
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	A50	US-2002-0062435 A1	05-23-2002	Nemirovsky et al.	
	A51	US-6,397,232 B1	05-28-2002	Cheng-Hung et al.	
	A52	US-2002-0065701 A1	05-30-2002	Kim et al.	
	A53	US-6,408,336 B1	06-18-2002	Schneider et al.	
	A54	US-2002-0078307 A1	06-20-2002	Zahir	
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	A56	US-2002-0099822 A1	07-25-2002	Rubin et al.	
	A57	US-2002-0103819 A1	08-01-2002	Duvillier et al.	
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	A59	US-2002-0129024 A1	09-12-2002	Lee	
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	A64	US-2002-0138543 A1	09-26-2002	Teng et al.	
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	A70	US-2002-0147746 A1	10-10-2002	Lee	

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PTO/SB/08A (07-05)

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		Application Number	09/998,893		
		Filing Date	November 30, 2001		
		First Named Inventor	Richard P. Sinn		
		Art Unit	2137		
		Examiner Name	Tamara Teslovich		
Sheet	3	of	7	Attorney Docket Number	021756-012800US

U.S. PATENT DOCUMENTS					
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	A71	US-2002-0147801 A1	10-10-2002	Gullotta et al.	
	A72	US-2002-0147813 A1	10-10-2002	Teng et al.	
	A73	US-2002-0152244 A1	10-17-2002	Dean et al.	
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	A77	US-2002-0184444 A1	12-05-2002	Shandony	
	A78	US-6,493,702 B1	12-10-2002	Adar et al.	
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	A84	US-6,539,021 B1	03-25-2003	Kennelly et al.	
	A85	US-6,542,515 B1	04-01-2003	Kumar et al.	
	A86	US-2003-0065921 B1	04-03-2003	Chang	
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	A91	US-2003-0110376 A1	06-12-2003	Wiener et al.	
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	A93	US-6,591,260 B1	07-08-2003	Schwarzhoff et al.	
	A94	US-6,606,644 B1	08-12-2003	Ford et al.	
	A95	US-6,632,251 B1	10-14-2003	Rutten et al.	
	A96	US-6,643,661 B2	11-04-2003	Polizzi et al.	
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	A98	US-2004-0002903 A1	01-01-2004	Stolfo et al.	
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	A100	US-6,678,733 B1	01-13-2004	Brown et al.	
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	A104	US-6,745,327 B1	06-01-2004	Messing	
	A105	US-6,748,374 B1	06-08-2004	Madan et al.	

Examiner Signature	Date Considered
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PTO/SB/08A (07-05)

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		Application Number	09/998,893		
		Filing Date	November 30, 2001		
		First Named Inventor	Richard P. Sinn		
		Art Unit	2137		
		Examiner Name	Tamara Teslovich		
Sheet	4	of	7	Attorney Docket Number	021756-012800US

U.S. PATENT DOCUMENTS					
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		Number Kind Code ² (# known)			
	A106	US-6,748,569 B1	06-08-2004	Brooke et al.	
	A107	US-6,751,797 B1	06-15-2004	Desgranges et al.	
	A108	US-6,754,702 B1	06-22-2004	Kennelly et al.	
	A109	US-2004-0153509 A1	08-05-2004	Alcorn et al.	
	A110	US-6,782,379 B2	08-24-2004	Lee	
	A111	US-6,785,726 B1	08-31-2004	Freeman et al.	
	A112	US-6,791,949 B1	09-14-2004	Ryu et al.	
	A113	US-6,816,871 B2	11-09-2004	Lee	
	A114	US-6,842,863 B1	01-11-2005	Fox et al.	
	A115	US-6,854,016 B1	02-08-2005	Kraenzel et al.	
	A116	US-6,886,170 B1	04-26-2005	Bahrs et al.	
	A117	US-6,901,588 B1	05-31-2005	Krapf et al.	
	A118	US-6,959,415 B1	10-25-2005	Soderberg et al.	
	A119	US-6,968,503 B1	11-22-2005	Chang et al.	
	A120	US-6,970,862 B2	11-26-2005	Kwan	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	B1	WO	02/07396	A1	01-24-2002	Nokia Corporation		<input type="checkbox"/>
	B2							<input type="checkbox"/>

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Substitute for form 1449B PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/998,893
Filing Date	November 30, 2001
First Named Inventor	Richard P. Sinn
Art Unit	2137
Examiner Name	Tamara Teslovich
Attorney Docket Number	021756-012800US

Sheet	5	of	7
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	Howes, Timothy A. et al., "Understanding And Deploying LDAP Directory Services," Netscape Communications Corporation, pp. 2 cover pages, 102-105, 277-292 and 699-723, 1999	<input type="checkbox"/>
	C2	Myers, M. et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP," The Internet Society, 22 pages, June 1999	
	C3	US Patent Application No. 09/997,408, Office Action dated September 7, 2005, 11 pages	<input type="checkbox"/>
	C4	US Patent Application No. 09/997,408, Final Office Action dated February 15, 2006, 7 pages	<input type="checkbox"/>
	C5	US Patent Application No. 09/997,409, Office Action dated June 3, 2005, 17 pages	<input type="checkbox"/>
	C6	US Patent Application No. 09/997,409, Office Action dated November 7, 2005, 12 pages	<input type="checkbox"/>
	C7	US Patent Application No. 09/998,893, Office Action dated June 13, 2005, 20 pages	<input type="checkbox"/>
	C8	US Patent Application No. 09/998,893, Final Office Action dated December 28, 2005, 12 pages	<input type="checkbox"/>
	C9	US Patent Application No. 09/998,898, Office Action dated September 21, 2005, 13 pages	<input type="checkbox"/>
	C10	US Patent Application No. 09/998,908, Office Action dated January 25, 2005, 24 pages	<input type="checkbox"/>
	C11	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2005, 14 pages	<input type="checkbox"/>
	C12	US Patent Application No. 09/998,908, Advisory Action dated November 1, 2005, 3 pages	<input type="checkbox"/>

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	C13	US Patent Application No. 09/998,908, Office Action dated February 16, 2006, 15 pages	<input type="checkbox"/>
	C14	US Patent Application No. 09/998,910, Office Action dated January 28, 2005, 12 pages	<input type="checkbox"/>
	C15	US Patent Application No. 09/998,910, Office Action dated December 13, 2005, 10 pages	<input type="checkbox"/>
	C16	US Patent Application No. 09/998,914, Office Action dated July 14, 2005, 19 pages	<input type="checkbox"/>
	C17	US Patent Application No. 09/998,914, Final Office Action dated January 30, 2006, 15 pages	<input type="checkbox"/>
	C18	US Patent Application No. 09/998,916, Office Action dated March 21, 2005, 16 pages	<input type="checkbox"/>
	C19	US Patent Application No. 09/998,916, Final Office Action dated September 8, 2005, 12 pages	<input type="checkbox"/>
	C20	US Patent Application No. 09/998,916, Advisory Action dated December 9, 2005, 4 pages	<input type="checkbox"/>
	C21	US Patent Application No. 09/998,926, Office Action dated August 25, 2005, 26 pages	<input type="checkbox"/>
	C22	US Patent Application No. 09/998,926, Final Office Action dated February 27, 2006, 15 pages	<input type="checkbox"/>
	C23	US Patent Application No. 09/999,074, Office Action dated March 24, 2005, 24 pages	<input type="checkbox"/>
	C24	US Patent Application No. 09/999,074, Final Office Action dated September 20, 2005, 19 pages	<input type="checkbox"/>
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	C25	US Patent Application No. 09/999,074, Advisory Action dated December 20, 2005, 3 pages	<input type="checkbox"/>
	C26	US Patent Application No. 09/999,177, Office Action dated March 25, 2005, 23 pages	<input type="checkbox"/>
	C27	US Patent Application No. 09/999,177, Final Office Action dated September 14, 2005, 26 pages	<input type="checkbox"/>
	C28	US Patent Application No. 09/999,177, Advisory Action dated December 14, 2005, 6 pages	<input type="checkbox"/>
	C29	US Patent Application No. 10/145,684, Office Action dated June 16, 2005, 17 pages	<input type="checkbox"/>
	C30	US Patent Application No. 10/145,684, Final Office Action dated December 1, 2005, 10 pages	<input type="checkbox"/>
	C31	US Patent Application No. 10/145,684, Advisory Action dated March 9, 2006, 3 pages	<input type="checkbox"/>
	C32	US Patent Application No. 10/146,150, Office Action dated July 27, 2005, 17 pages	<input type="checkbox"/>
	C33	US Patent Application No. 10/146,150, Final Office Action dated December 20, 2005, 12 pages	<input type="checkbox"/>
	C34	US Patent Application No. 10/146,150, Advisory Action dated March 13, 2006, 4 pages	<input type="checkbox"/>

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